eClerx

Amplify Business Results

FCC Pulse

Insights | Intelligence | Impact

Q3 Edition:
Turning compliance into competitive advantage

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Financial crime compliance today goes beyond meeting regulations—it’s about building trust, protecting clients, and strengthening the financial system. At eClerx, we view compliance as a driver of resilience, helping institutions turn challenges into opportunities through domain expertise, operational excellence, and innovation. Our recent recognition as a Leader in Everest Group’s FCC Operations Services PEAK Matrix® 2025 reflects this commitment, highlighting our ability to scale compliance across KYC, AML, fraud prevention, and reporting through proprietary platforms and our global FCC Centers of Excellence. Evaluators highlighted the depth of eClerx’s Compliance Manager CLM platform, scalable AI and automation, highly skilled and flexible workforce, and extensive delivery network. Together, these capabilities enable us to set the standard in agility, expertise, and innovation in financial compliance.

In this newsletter, you’ll find regulatory updates, eClerx perspectives, client impact stories, and insights from global events—showcasing how smarter approaches can unlock growth and build future-ready compliance frameworks.

Abhineet Jain
Global Head, FCC & Client Lifecycle Practice

The changing regulatory landscape

Tranche 2 is coming: is your business ready?

From July 1, 2026, Australia’s AML/CTF regime will expand to legal, accounting, real estate, and other professional services. Newly regulated entities must register with AUSTRAC, implement customer due diligence, monitor transactions, and maintain records. Firms can turn compliance into a competitive edge by upgrading systems, embedding risk-based frameworks, and leveraging RegTech support.

→ Click here to read more

New FCA guidance on PEPs: preparing for the road ahead

Effective July 7, 2025, the FCA’s new guidance (FG 25/3) reshaped how firms manage politically exposed persons (PEPs) under UK AML regulations. With these changes, domestic PEPs are now presumed low risk, definitions are narrower, approvals more flexible, and communications must reflect Consumer Duty standards. Firms must act to turn potential challenges into competitive advantages.

→ Click here to read more

An eClerx perspective: buy vs build

Redefining client lifecycle management: harnessing innovation for smarter, faster, and more secure financial ecosystems

As regulatory pressures mount and customer expectations evolve, legacy systems often fall short. This white paper examines the “Buy vs. Build” dilemma, comparing in-house development, commercial solutions, and hybrid models. It highlights how modular CLM architectures can boost flexibility, compliance, and scalability while aligning with broader goals such as digital innovation, customer experience, and risk management, equipping decision-makers with a clear framework to evaluate options and build smarter, more resilient CLM ecosystems.

→ Click here to read more

Results in action

An eClerx client success story: enabling KYC transformation for a large asset manager

A large US asset manager, with investments spanning real estate, credit, renewable energy, and secondary strategies, was struggling with client onboarding and data management due to the manual limitations of their existing platform. By implementing Compliance Manager, eClerx’s proprietary CLM platform, within the client’s framework, our team helped reduce manual efforts, improve data quality, and enhance the client experience.

→ Click here to read more

Insights from the global stage

Our team has been active at global conferences, sharing insights and connecting with industry leaders.

1LOD The Financial Crime Summit - London

Resilience requires agility through the right blend of expertise and technology. At our roundtable, “Elevating client experience in KYC with GenAI,” we discussed how firms can adopt innovation while remaining fully compliant.

ACAMS The Assembly Las Vegas

Some key takeaways:

- AI-driven KYC boosts speed & accuracy with proper controls
- Real-time monitoring enables faster, better decisions
- Legacy systems hinder progress; modernization is essential
- Partnerships align emerging tech with regulatory change

ACAMS The Assembly Canada

Discussions highlighted that AI-driven predictive risk detection, combined with strong data integration, is enabling smarter compliance decisions. Hybrid operating models and continuous process optimization are helping firms stay agile in a rapidly evolving regulatory landscape.

Let’s continue the conversation

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Connect with our experts